



DTC REQUEST FORM

Date: _____

Penson Financial Account Number: _____

Penson Financial Account Name: _____

Symbol/ CUSIP	Shares	Symbol/ CUSIP	Shares
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Receiving Firm DTC Number: _____

Receiving Account Number: _____

Receiving Account Name: _____

Customer Signature: _____

Customer Signature (Joint Account): _____

I agree to hold all parties acting on this request, including the introducing broker and Penson Financial Services, Inc., and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses, and expenses including without limitation those asserted by me, associated with actions taken by the parties due to instructions received from me in this request.

NOTARY SIGNATURE: _____

NOTARY SEAL:

notary required for all 3rd party transaction

Office Approval: _____